

LV

0300

4

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
DP-300320

In Re Application Of: YAN, ET AL.

APR 11 2001  
PATENT & TRADEMARK OFFICE

Serial No.

747,753

Filing Date

DECEMBER 22, 2000

Examiner

Group Art Unit

Title: **REVERSE-LAYERED, CATALYZED ADSORBER SYSTEM**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

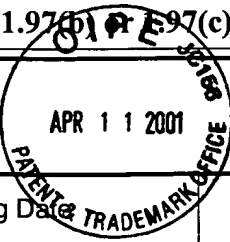
**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) and 1.97(c))

Docket No.  
DP-300320

In Re Application Of: YAN, ET AL.



Serial No.  
09/747,753

Filing Date  
DECEMBER 22, 2000

Examiner

Group Art Unit

Title: **REVERSE-LAYERED, CATALYZED ADSORBER SYSTEM**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0831 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

on \_\_\_\_\_  
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

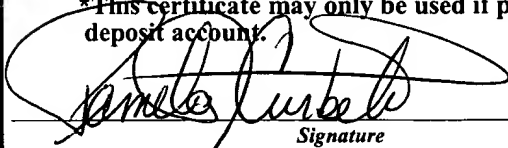
I certify that this document and fee is being deposited on **APRIL 9, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

**SONIA MANCINI**

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

  
Signature

Dated: **APRIL 9, 2001**

**PAMELA J. CURBELO**  
REGISTRATION NO. 34,676  
CUSTOMER NO. 23413  
(860) 286-2929

CC:

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>DP-300320</b>		SERIAL NO. <b>09/747,753</b>	
				YAN, ET AL.			
				FILING <b>DECEMBER 22, 2000</b>		GROUP	

OFFICE  
 APR 11 2001  
 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	3,833,499	09/03/74	EGAN, ET AL.				
	4,151,121	04/24/79	GLADROW				
	4,711,770	12/08/87	SKEELS, ET AL.				
	4,882,307	11/21/89	TSAO				
	4,985,210	01/15/91	MINAMI				
	5,051,244	09/24/91	DUNNE, ET AL.				
	5,078,979	01/07/92	DUNNE				
	5,168,085	12/01/92	ADDIEGO, ET AL.				
	5,179,053	01/12/93	SUBRAMANIAN, ET AL.				
	5,273,945	12/28/93	DES COURIERES, ET AL.				
	5,278,113	01/11/94	ONO, ET AL.				

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			

EXAMINER	DATE CONSIDERED
----------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				ATTY DOCKET NO. <b>DP-300320</b>		SERIAL NO. <b>09/747,753</b>	
				YAN, ET AL.			
				FILING <b>DECEMBER 22, 2000</b>		GROUP	

RECEIVED  
 APR 11 2001  
 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	5,354,720	10/11/94	LEYRER, ET AL.				
	5,447,694	09/05/95	SWAROOP, ET AL.				
	5,459,119	10/17/95	ABE, ET AL.				
	5,510,086	04/23/96	HEMINGWAY, ET AL.				
	5,531,068	07/02/96	KASS, ET AL.				
	5,662,869	09/02/97	ABE, ET AL.				
	5,772,972	06/30/98	HEPBURN, ET AL.				

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			

EXAMINER	DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.